

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Ulrich Schwaneberg

Application No./Patent No.: 10/573,639 Filed/Issue Date: March 28, 2006

Titled: A PROCESS FOR SEQUENCE SATURATION MUTAGENESIS (SESAM)

SeSam-Biotech GmbH and Jacobs  
University Bremen gGmbH, a Corporation and Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: Ulrich Schwaneberg To: BASF Aktiengesellschaft  
The document was recorded in the United States Patent and Trademark Office at Reel 018284, Frame 0373, or for which a copy thereof is attached.
2. From: BASF Aktiengesellschaft To: BASF SE  
The document was recorded in the United States Patent and Trademark Office at Reel 024841, Frame 0299, or for which a copy thereof is attached.
3. From: BASF SE To: SeSam-Biotech GmbH and Jacobs University Bremen gGmbH  
The document was recorded in the United States Patent and Trademark Office at Reel 024840, Frame 0096, or for which a copy thereof is attached.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet(s).
- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Hui-Ju Wu/  
Signature

Hui-Ju Wu, Ph.D.  
Printed or Typed Name

August 30, 2010  
Date

Attorney for Assignee  
Title